Application Serial No: 10/081,995 Attorney Docket No.: 51965 (ACT-179)

## **Amendments to the Claims:**

Please amend the claims to read as follows.

- 1. (Previously Presented) A method for manufacturing an optical device comprising:
  moving a mask situated between a layer of optical waveguide material to be
  shaped and a source of etchant ions, wherein at least two areas of the optical waveguide
  material are exposed to variable amounts of etchant ions provided along a selected
  etching direction to provide a rib optical waveguide having an optical axis non-parallel to
  the selected etching direction and having a thickness that varies along the direction of the
  optical axis.
- 2. (Original) The method of claim 1, wherein the mask has a comb shape comprising teeth.
- 3. (Original) The method of claim 1, wherein the mask has a comb shape and wherein the mask comprises tapered teeth.
- 4. (Original) The method of claim 1, wherein the mask comprises at least one slit.
- 5. (Original) The method of claim 1, further comprising a stationary mask.
- 6. (Original) A vertically tapered waveguide produced by the method of claim 1.
- 7. (Original) A diffraction grating produced by the method of claim 1.
- 8. (Original) The method of claim 1, wherein the mask moves in a linear direction with respect to the plane of the optical waveguide direction.
- 9. (Original) The method of claim 1, wherein the mask moves with a reciprocating motion with respect to the plane of the optical waveguide direction.
- 10. (Previously Presented) A method of micromachining comprising:

etching through a moving mask so that a desired sidewall shape is produced in an optical material, wherein the moving mask is a comb mask comprising tapered teeth and the motion is a reciprocating motion along a direction perpendicular to the direction along which the teeth extend.

## 11. (Currently Amended) An optical device comprising:

a waveguide comprising an upper surface and a lower surface, the upper surface comprising a vertically tapered portion and a non-vertically tapered portion, wherein the waveguide is made of a material chosen from Si, GaAlAs, GaAs, silicon oxynitride and a doped glass; and

a diffraction grating disposed on the upper surface at the non-vertically tapered portion, wherein the waveguide and the diffraction grating are made from a monolithic optical material, and wherein the monolithic optical material is over a substrate common to both the waveguide and the diffraction grating, the substrate disposed adjacent to the lower surface of the waveguide.

## 12-13. (Canceled)

- 14. (Previously Presented) A method for forming a waveguide with a vertical taper, comprising the steps of:
  - a) forming a rib waveguide;
  - b) disposing a movable mask above the waveguide;
- c) moving the mask along the waveguide while exposing the waveguide to an ion etching process, so that a vertical taper is formed in the waveguide.
- 15. (Original) The method of claim 14 wherein the waveguide comprises silicon.
- 16. (Previously Presented) The method of claim 14 wherein the etching process is selected from the group consisting of deep reactive ion etching, plasma etching, ion beam milling, and laser-chemical etching.

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- 17. (Original) The method of claim 14 wherein the mask is in contact with the waveguide.
- 18. (Original) The method of claim 14 wherein the mask is up to 250 microns above the waveguide.
- 19. (Original) The method of claim 14 wherein the mask is moved a distance of 50-1000 microns.
- 20. (Original) The method of claim 14 wherein the depth of the taper is in the range of 0-5 microns.
- 21. (Original) A vertically tapered waveguide made according to the method of claim 14.
- 22. (Previously Presented) An optical device comprising:

a waveguide comprising an upper surface and a lower surface, the upper surface comprising a taper surface that provides a vertical taper to the waveguide; and

a diffraction grating disposed on the taper surface, wherein the waveguide and the diffraction grating are made from a monolithic optical material, and wherein the monolithic optical material is over a substrate common to both the waveguide and the diffraction grating, the substrate disposed adjacent to the lower surface of the waveguide.